Summary of the State Elder Abuse

Questionnaire for New Mexico

A Final Report to: Children, Youth and Families Department

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Prepared by Researchers at The University of Iowa Department of Family Medicine

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Principal Investigator: Gerald Jogerst, M.D.

Investigators: Jeanette Daly, RN, PhD Jon Lemke, PhD (09/30/00-02/28/02) Jeffrey Dawson, ScD Margaret Brinig, JD, MA, PhD Jim Hall, PhD Gretchen Schmuch, MSW Robert Olick, PhD, JD (09/30/00-06/30/01)

Research Assistants: Jerry Ingram, MSW Patrick Monahan, MA (09/30/00-01/31/02) Ashley Narsutis, BA (09/15/01-12/21/01) Denise Flory, MSW (10/01/00-05/31/01)

Elder Abuse Investigations: New Mexico Results

In September 2000, a research team at the University of Iowa was awarded a grant to investigate domestic elder abuse at the national level. This two-year award was made possible by funds from the Center for Disease Control and Prevention. The overall purpose of this project was to evaluate the impact of state Adult Protective Service (APS) legislation on the implementation of the investigative system for elder abuse. One of the specific aims was to differentiate investigative structure and investigator characteristics at the reporting district level for all 50 states and the District of Columbia.

Methods

Study Participants

A mailed survey was used to accomplish the aim of differentiating investigative structure and investigator characteristics. Prior to mailing, permission to conduct the survey was sought from each state's administrator of Adult Protective Services. Permission to mail surveys to each APS office was granted by 43 states and the District of Columbia. Montana allowed the survey to be sent only via email. The study's Principal Investigator accepted this method because it did not deviate from the mailed survey design.

Five states (Michigan, Missouri, South Carolina, South Dakota, and Tennessee) did not grant permission for questionnaires to be sent to each local office. Three of those states (Michigan, Missouri, and Tennessee) completed one questionnaire representing all APS offices in the state. Data collected from these states were not used in the final analysis. South Dakota offered to complete the questionnaires in a group meeting, however, this format was not acceptable to the Principal Investigator as it would have deviated from the mailed survey design and would have increased the probability of obtaining biased or inaccurate results. North Dakota could not participate because there are no adult protective service offices in the state.

Instrument

The authors developed a 23-item questionnaire entitled "State Elder Abuse Investigations" for use in this study. Demographic items included respondent's position title, age, gender, length of employment in current position, level of education, and fulltime or part-time work status. Other items pertained to investigations conducted by the agency such as the use of abuse screens, number of full time equivalent investigators, and curriculum for elder abuse investigation.

The questionnaire underwent initial revisions following suggestions generated by the geriatric assessment clinic team (physicians, nurse practitioner, social worker, and nurse) and the research review team (University of Iowa Department of Internal and Family Medicine). The questionnaire was then piloted by ten social workers, each of whom conducts elder and adult abuse investigations in the Iowa City, Iowa area. Following feedback from the social workers, final revisions were made to the survey. *APS Office Address Database*

Office addresses for each state were obtained during two different time periods. Prior to funding of the study, a research assistant began to develop a database for each state's APS office addresses. These databases were obtained from a variety of sources: state web sites, administrators of state APS programs, and phone calls to district level office supervisors. By the time funding had been received, 23 state address databases had been developed. These 23 state administrators then received a copy of their APS office addresses for verification purposes. The remaining 28 state's administrators provided their APS office addresses to the research team. Initially, the research team thought there was one office per county of the United State's 2,916 counties. After compiling all the databases, there were 1,860 office addresses.

When the office address list was received from state administrators, some administrators provided a contact person's name while some did not. See Appendix A for a list of states, number of participating offices, and if a contact name was provided. *Mailings*

An APS supervisor, director, or adult protective service investigator from each APS office were sent a questionnaire. The first mailing was sent in March 2001 to 1,860 offices resulting in a return of 728 (39%) surveys. A second mailing was sent in May 2001 to those who had not yet returned the first survey. Of the 1,191 offices that received the second mailing, 366 (31%) returned the survey. Following the second mailing, surveys from the first mailing were received, thus some APS offices inadvertently received a second mailing. Surveys returned from the second mailing were cross-referenced with those received from the first mailing. In cases of duplication, surveys returned from the first mailing were used. In total, 1,056 (60%) surveys were returned by mail.

Phone Calls

In August 2001, follow-up telephone calls were made to 701 offices that had not returned a survey from either mailing. During this first series of telephone calls it was found that, in some states, the APS office address list was incorrect. Some states provided office addresses for *all* offices in the state including those that do <u>not</u> provide adult protective services. For those states, additional telephone calls were made to determine which offices did not provide APS services so we could delete them from the original database of 1,860 APS offices. After revising the working database, there were 1,757 offices in the US that provide adult protective services.

Following completion of the first round of telephone calls, 216 (12%) surveys were completed by telephone. In September 2001, a second series of telephone calls were made to 485 offices resulting in another 129 (8%) surveys being completed.

Emailed Surveys

Twenty emailed surveys were sent to investigators in Montana; all were housed in different offices. A "reminder" survey was emailed a month later to the 12 investigators who did not return the first emailed survey. None of the second emailed surveys were returned.

Summary

After both mailings, both series of telephone calls, and surveys by email, a total return of 1,401 surveys were completed. This resulted in an overall return rate of 80%. This report describes the survey results received from APS workers in the state of New Mexico. Appendix B depicts three maps of New Mexico with elder abuse reports, investigations, and substantiations per 1,000 elder population for 1999.

Results

Results are provided by individual state (New Mexico) and the total of all 44 participating states and the District of Columbia. New Mexico has 31 local offices.

Twenty (65%) questionnaires were returned by mail and ten (32%) questionnaires were completed by telephone. There is a 95% survey return rate for the state of New Mexico.

Nationally, the average respondent was female, 46 years old, working full-time with a college degree and had been in their current position for nine years. The following is the questionnaire with responses from the composite national survey and for the state of New Mexico.

State Elder Abuse Investigations Questionnaire Results

1. Are abuse screens or risk factor instruments used when investigating an alleged elder abuse report in your service area?

Response	All States		New N	<i>A</i> exico
	N = 1,389	%	N = 29	%
Yes	691	50.3	17	58.6
No	698	49.7	12	41.4

2. Which abuse screen or risk factor instrument is used for assessment of an alleged elder abuse report? (check all that apply)

Response	All Sta	tes	New M	lexico
	N = 684		N = 16	
	Number of	% of	Number of	% of
	Responses =	Responses	Responses =	Responses
	718		15	
Caregiver Abuse Screen for				
the Elderly (Reis & Nahmiash,	17	2	0	0
1995)				
Elder Abuse Detection:				
Indicators (Bloom, Ansell, &	10	1	0	0
Bloom, 1989)				
Indicators of Abuse (IOA)				
Screen (Reis, & Nahmiash,	22	3	0	0
1998)				
Sengstock-Hwalek Screen	8	1	0	0
(Sengstock-Hwalek, 1987)	0	1	0	0
Screening Protocol for				
Identification of Abuse and	18	3	0	0
Neglect of the Elderly	10	5	0	0
(Johnson, 1981)				
If another instrument is used,	643	90	15	100
please specify the name:	043	90	15	100

Other instrument responses: "MMSE, own internal assessment sheet", "Adult Assessment Form", "Protective Services 1400 Form", "State of NM 1400 for Indicators of Abuse/Neglect".

3. What is the minimum age of persons covered by your state's laws addressing <u>elder abuse</u>?

Response	All States		New Mexico	
	N = 1,381	%	N = 30	%
18 years and older	874	63.3	29	96.7
60 years and older	352	25.5	1	3.3
65 years and older	101	7.3	0	0.0
Other, please specify:	54	3.9	0	0.0

4. Do the same investigators review child and elder abuse reports in your service area?

Response	All States		New Mexico	
	N = 1,393 %		N = 30	%
Yes	377	27.1	6	20.0
No	1,016	72.9	24	80.0

5. Please list the number of investigators (FTEs) in your service area who investigated child and elder abuse reports during your last fiscal year.

Response	All States		All States		New N	Aexico
Number of Investigators	N = 413	%	N = 8	%		
1 - 5	318	77.0	5	62.5		
6 – 10	62	15.0	2	25.0		
11 – 15	19	4.6	0	0.0		
More than 15	14	3.4	1	12.5		
Mean	5.10		12.00			

6. Please list the number of investigators (FTEs) in your service area who investigated elder abuse reports during your last fiscal year.

Response	All States		New N	Aexico
Number of Investigators	N = 1,202	%	N = 28	%
1 - 5	972	80.9	25	89.3
6 - 10	155	12.9	2	7.1
11 - 15	26	2.16	1	3.6
More than 15	49	4.04	0	0.0
Mean	4.30		3.18	

7. After an elder abuse investigation and interventions have been implemented, do you think the elderly person is better off?

Response	All States		New N	<i>A</i> exico
	N = 1,392	%	N = 30	%
Most of the time	958	68.8	21	70.0
Some of the time	433	31.1	9	30.0
None of the time	1	0.10	0	0.0

8. Why? (New Mexico selected responses)

"Not all elders cooperate even when they are in bad situations. Some cooperate with interventions some don't", "We provide lots of services", "Try to offer them services that will help", "Risk reduced", "Put a stop to the abuse", "We are able to get the individual linked into resources", "Just education for them and resources".

9. Do you think the reporting of elder abuse in your area is?

Response	All States		New Mexico	
	N = 1,392	%	N = 28	%
Under reported	1,014	72.8	21	75.0
Reported adequately	350	25.2	6	21.4
Over reported	28	2.0	1	3.6

- 10. Please list reasons why you think elder abuse is <u>under</u> reported? (New Mexico selected responses) "Due to perpetrator often being family members-elderly refuse to report further or to leave situations that are unsafe", "Fear of out of home placement", "Services/resources are becoming less available", "Haven't been very busy, doesn't think they know of office", "Inadequate reporting system", "Lack of awareness".
- 11. Please list reasons why you think elder abuse is <u>over</u> reported. (New Mexico responses) "It is not-in facilities especially-staff are threatened if they report", "Over reported by nursing homes because of fear they may be sanctioned by licensing board".
- 12. Do you think the number of elder abuse reports that have been investigated in the last year by your department are?

Response	All States		New N	<i>A</i> exico
	N = 1,362	%	N = 29	%
Under substantiated	184	13.5	5	17.2
Substantiated adequately	1,168	85.8	24	82.8
Over substantiated	10	0.70	0	0.0

13. What is the average number of caseload investigations an investigator in your area carries per month?

Response	All States		New Mexico	
Child Abuse	N = 555	%	N = 13	%
1-10 cases	214	38.6	4	30.8
11-20 cases	216	38.9	6	46.2
21-25 cases	60	10.8	2	15.4
26-30 cases	25	4.5	0	0.0
31-35 cases	10	1.8	0	0.0
36-40 cases	11	2.0	1	7.7
>40 cases	19	3.4	0	0.0

Response	All S	All States		Mexico
Adult Abuse	N = 1,339	%	N = 30	%
1-10 cases	766	57.2	16	53.3
11-20 cases	315	23.5	8	26.7
21-25 cases	91	6.8	1	3.3
26-30 cases	60	4.5	1	3.3
31-35 cases	39	2.9	1	3.3
36-40 cases	27	2.0	1	3.3
>40 cases	41	3.1	2	6.7

14. Does your department have a formal curriculum in elder abuse evaluations for employees?

Response	All States		New Mexico	
	N = 1,389 %		N = 30	%
Yes	835	60.1	27	90.0
No	554	39.9	3	10.0

15. If the department does have a formal curriculum in elder abuse evaluations, how long is the course?

Response	All States		New Mexico	
	N = 825	%	N = 26	%
Less than one day	11	1.3	0	0.0
One day	38	4.6	1	3.8
Two to four days	308	37.3	4	15.4
One week	182	22.1	3	11.5
Two to three weeks	180	21.8	16	61.5
More than three weeks, please specify	106	12.8	2	7.7

Other responses: "One month-court training".

16. What is the minimum academic level required for elder abuse investigators in your service area?

Response	All States		New Mexico	
	N = 1,395	%	N = 30	%
High school graduate	55	3.9	0	0.0
Associate degree	44	3.2	0	0.0
College graduate	1,240	88.9	30	100.0
Some graduate work	11	0.80	0	0.0
A graduate degree	16	1.1	0	0.0
A doctoral degree	1	0.10	0	0.0
Other	28	2.0	0	0.0

17. What percent of the elder abuse investigators in your service area have educational preparation beyond the minimum requirements?

Response	All S	All States		Aexico
	N = 1,363	%	N = 30	%
None	532	39.0	11	36.7
1-25%	405	29.7	11	36.7
26-50%	149	10.9	3	10.0
51-75%	64	4.7	1	3.3
76-99%	59	4.3	0	0.0
100%	154	11.3	4	13.3

18. What is the area of educational training for the majority of the elder abuse investigators in your service area?

Response	All States		New Mexico	
	N = 1,360	%	N = 30	%
Social work	895	65.8	30	100.0
Nursing	8	0.60	0	0.0
Criminal justice	41	3.0	0	0.0
Aging studies	13	1.0	0	0.0
Education	30	2.2	0	0.0
Other	373	27.4	0	0.0

Demographic Data

- 19. What is the title of your position in the department? (New Mexico responses) "Social Worker Supervisor I", "Adult Protective Services Social Worker", "County Office Manager", "Social Worker", "Supervisor", "Senior Social Worker", "Social and Community Development Coordinator", "Adult Protective Services Supervisor", Adult Protective Service Worker".
- 20. What is your level of education?

Response	All States		New Mexico	
	N = 1,400	%	N = 30	%
High school graduate	33	2.4	0	0.0
Associate degree	28	2.0	0	0.0
College graduate	672	48.0	15	50.0
Some graduate work	243	17.4	6	20.0
A graduate degree	403	28.8	8	26.7
A doctoral degree	4	0.30	0	0.0
Other	17	1.2	1	3.3

Other response: "Doctoral Candidate"

21. Are you employed?

Response	All States		New Mexico	
	N = 1,406 %		N = 30	%
Full-time	1,385	98.5	30	100.0
Part-time	19	1.4	0	0.0
Other	2	0.10	0	0.0

22. How long have you worked in this position?

Response	All States	New Mexico	
	N = 1,388	N = 29	
Mean	113.0 months (9.42 years)	92.38 months (7.70 years)	
Range	423.0 months (35.25 years)	298.0 months (24.83 years)	

23. What is your age?

Response	All States	New Mexico
	N = 1,337	N = 28
Mean	46.40 years	44.96 years
Range	53.0 years	33.0 years

24. What is your gender?

Response	All States		New Mexico	
	N = 1,396	%	N = 30	%
Female	1,055	75.6	22	73.3
Male	341	19.4	8	26.7

Appendix A

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Appendix B





